

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY PATENT APPLICATION TRANSMITTAL FORM
(*only for new nonprovisional applications under 37 CFR 1.53(b)*)

ASSISTANT COMMISSIONER FOR PATENTS

Washington, D.C. 20231

BOX: PATENT APPLICATION

SIR:

Transmitted herewith for filing is the patent application (including Specification, Claims, Sequence Listing (if applicable) and Abstract, 44 pages) of:

Inventors : **Zhifeng Ren, Zhongping Huang, Jui H. Wang, and Dezhi Wang**

For : **FREE-STANDING AND ALIGNED CARBON NANOTUBES AND SYNTHESIS THEREOF**

***If a CONTINUING APPLICATION, please mark where appropriate and supply the requisite information below and in a preliminary amendment:*

☐ continuation ☐ divisional ☐ Continuation-In-Part (CIP)
of prior application Serial No. _____

Prior application information: Examiner :
Art Unit :

Enclosed are:

- ☒ 19 sheets of informal drawings.
- ☐ **Signed** Combined Declaration and Power of Attorney (_____ pages).
- ☐ **Copy** of **signed** Combined Declaration and Power of Attorney (_____ pages) from a prior application (1.63(d) (for continuation/divisional).
- ☐ **Signed** statement deleting inventor(s) named in prior application (_____ pages) (1.63(d)(2) and 1.33(b)).
- ☐ **Incorporation By Reference:** The entire disclosure of the prior application, from which a **copy** of the oath or declaration is supplied herewith, is considered as being part of the disclosure of the enclosed application and is hereby incorporated by reference therein.
- ☐ Assignment (_____ pages) of the invention to _____.
- ☐ Assignment Transmittal Letter.
- ☐ Certified copy of a foreign priority document.
- ☐ Associate power of attorney.
- ☐ Verified statement to establish small entity status (_____ pages) (newly signed or copy filed in prior application).

- ☐ Preliminary Amendment (_____ pages).
- ☐ Information Disclosure Statement, form PTO-1449 (_____ pages) and _____ references.
- ☒ **UNSIGNED** Combined Declaration and Power of Attorney (3 pages).
- ☐ Statement in Accordance with 37 CFR § 1.821(f) and computer readable 3.5" Diskette.
- ☒ A self-addressed, prepaid postcard acknowledging receipt.
- ☐ Other:

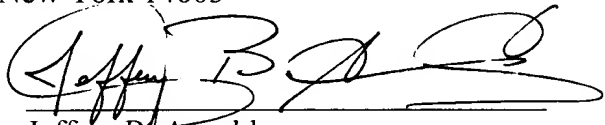
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| | (Col. 1) | (Col. 2) | SMALL ENTITY | | | LARGE ENTITY | |
|--|-----------|-----------|--------------|---------|----|--------------|-------|
| FOR: | NO. FILED | NO. EXTRA | RATE | FEE | OR | RATE | FEE |
| BASIC FEE | XXXXXXXX | XXXXXXXX | XXXX | \$ 380 | OR | XXXX | \$760 |
| TOTAL CLAIMS | 86 - 20 = | 66 | x 9 = | \$ 594 | OR | x 18 = | \$ |
| INDEP CLAIMS | 15 - 3 = | 12 | x 39 = | \$ 468 | OR | x 78 = | \$ |
| <input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED | | | x 130 = | \$ 0 | OR | x 260 = | \$ |
| *If the Total Claims are less than 20 and Indep. Claims are less than 3, enter "0" in Col. 2 | | | TOTAL | \$1,442 | OR | TOTAL | \$ |

- ☒ A check in the amount of **\$1,442.00** to cover the filing fee is enclosed.
- ☒ Address all future communications to:

Michael L. Goldman, Esq.
Nixon, Hargrave, Devans & Doyle LLP
Clinton Square, P.O. Box 1051
Rochester, New York 14603

Date: June 18, 1999


Jeffery B. Arnold
Registration No. 39,540

Nixon, Hargrave, Devans & Doyle LLP
Clinton Square, P.O. Box 1051
Rochester, New York 14603
Telephone: (716) 263-1342
Facsimile: (716) 263-1600

EXPRESS MAIL CERTIFICATE



DOCKET NO. : 19226/950 (R-5557)
APPLICANTS : Zhifeng Ren, Zhongping Huang, Jui H. Wang, and Dezhi Wang
TITLE : **FREE-STANDING AND ALIGNED CARBON NANOTUBES
AND SYNTHESIS THEREOF**

Certificate is attached to the **Informal Drawings (19 pages)** of the
above-named application.

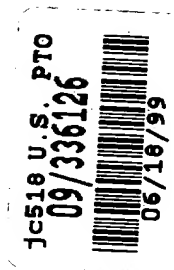
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Certificate is attached to the **Patent Application Including Specification, Claims, and Abstract (44 pages) and Unsigned Combined Declaration and Power of Attorney (3 pages)** of the above-named application.

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